

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/801,544	03/17/2004	Kazuhisa Fukushima	042187	2323
38834 7590 08/09/2007 WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP 1250 CONNECTICUT AVENUE, NW			EXAMINER	
			SISSON, BRADLEY L	
SUITE 700 WASHINGTO	N. DC 20036		ART UNIT PAPER NUMBER	
			1634	
			MAIL DATE	DELIVERY MODE
			08/09/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)				
	10/801,544	FUKUSHIMA, KAZUHISA				
Office Action Summary	Examiner	Art Unit				
	/Bradley L. Sisson/	1634				
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address				
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period was really received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONEI	I. hely filed the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on 23 Ju	ly 2007.	·				
2a) ☐ This action is FINAL . 2b) ☑ This	This action is FINAL . 2b)⊠ This action is non-final.					
3) Since this application is in condition for allowan	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	3 O.G. 213.				
Disposition of Claims						
4) ⊠ Claim(s) 1-11 is/are pending in the application. 4a) Of the above claim(s) 4-6 and 9-11 is/are wi 5) □ Claim(s) is/are allowed. 6) ⊠ Claim(s) 1-3,7 and 8 is/are rejected. 7) □ Claim(s) is/are objected to. 8) □ Claim(s) are subject to restriction and/or	•					
Application Papers						
9) The specification is objected to by the Examiner 10) The drawing(s) filed on is/are: a) access applicant may not request that any objection to the of Replacement drawing sheet(s) including the correction.	epted or b) objected to by the Edrawing(s) be held in abeyance. See on is required if the drawing(s) is objected	e37 CFR 1.85(a). ected to. See 37 CFR 1.121(d).				
11) The oath or declaration is objected to by the Exa	ammer. Note the attached Office	Action of form PTO-152.				
Priority under 35 U.S.C. § 119						
 12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of: 1. Certified copies of the priority documents 2. Certified copies of the priority documents 3. Copies of the certified copies of the priori application from the International Bureau * See the attached detailed Office action for a list of 	have been received. have been received in Application ty documents have been receive (PCT Rule 17.2(a)).	on No d in this National Stage				
Attachment(s) 1) Notice of References Cited (PTO-892)	4) Interview Summary (
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	Paper No(s)/Mail Dai 5) Notice of Informal Pa 6) Other:					

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 23 July 2007 has been entered.

Election/Restrictions

2. Claims 4-6 and 9-11 remain withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention, there being no allowable generic or linking claim. Election was made **without** traverse in the reply filed on 02 August 2006.

Claim Rejections - 35 USC § 112

- 3. The following is a quotation of the first paragraph of 35 U.S.C. 112:
 - The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.
- 4. Claims 1-3, 7, and 8 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter that was not described in the specification in such a way as to reasonably convey to one skilled in the

Art Unit: 1634

relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. Claims 1-3, 7, and 8 have been amended so to recite partitioning an electrophoretic medium into multiple "buffer chambers." A text search of the original disclosure fails to fine any instance where the expression "buffer chamber" has been used, much less an instance where it was used in the context presented in the newly amended claims.

- 5. A review of the response of 3 July 2007 fails to find any instance where applicant has identified a location in the original disclosure for this new limitation.
- 6. A review of the amendment of 23 July 2007 has also failed to identify any statement by applicant that no new matter has been added by way of said amendment.
- 7. For the above reasons, and in the absence of convincing evidence to the contrary, the amendment is deemed to comprise new matter. Accordingly, claims 1-3, 7, and 8 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement.
- 8. The following is a quotation of the second paragraph of 35 U.S.C. 112:
 The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 9. Claims 1-3 and 7 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- 10. Claims 1-3 and 7 are indefinite with respect to just what constitutes the metes and bounds of a "buffer chamber."

Art Unit: 1634

11. Claims 1, 2, and 7 are confusing as a result of the amendment to same. As presently worded, the method requires "partitioning a container into a first buffer chamber." It is less than clear as to how one is to fashion a "buffer chamber" when the "partition" is a filter, which can be a membrane that may be planar. If, in the alternative, one is to fashion a true chamber, which is closed on all sides, it is unclear how the biopolymer, which is trapped by the "filter" is to pass into and through the filter and on into a second chamber, when the very nature of the filter caused the biopolymer to be trapped in the first instance.

- 12. Additionally, it is not clear as to how the process of partitioning is to relate to the following clause-"initially containing said target biopolymer and other biopolymers." Does the step of partitioning have to result in some actual, constructive material, or can it be virtual, e.g., a zone or region delineated by an automated control device which in the physical sense is not separated from the?
- 13. Claim 3, which depends from claim 2, fails to overcome this issue and is similarly rejected.
- 14. Applicant is urged to consider adding additional method steps such that the intended end result is actually obtained.
- 15. Claim 7 is indefinite where in line 12 is written, "said partition." Upon review of the claim it is noted that there are at least three different partitions. It is unclear which partition applicant is referencing in this particular instance. Claim 8, which depends from claim 7, fails to overcome this issue and is similarly rejected.

Response to argument

16. At page 9 of the response received 23 July 2007, applicant's representative states in part:

Art Unit: 1634

17. Additionally, Office Action states that it is unclear if the "other biopolymers" also would move into the third solution when, for example, they both have the same size/mass/charge. In response, Applicants respectfully clarify the preamble of each of claims 1, 2 and 7 recites a difference in size or charge. Thus, the claims do not encompass such a situation.

18. The above argument has been fully considered and has not been found persuasive for while he preamble states that the biopolymers may have certain properties, the preamble does not stipulate that the biopolymers are being separated because of these properties.

Claim Rejections - 35 USC § 102

19. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 20. Claims 1-3 are rejected under 35 U.S.C. 102(b) as being anticipated by US Patent 5,635,045 (Alam).
- 21. Alam discloses a method of separating nucleic acids from other biopolymers. As disclosed therein, biopolymers are caused to migrate through a gel (applicant's partition) via electrophoretic force. A band of gel can be excised, and placed into a second chamber, wherein the biopolymer can be eluted collected, therein separating the target biopolymer (e.g., nucleic acids) from the buffer in the second chamber.

Art Unit: 1634

Claim Rejections - 35 USC § 102/103

22. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 23. The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:
 - 1. Determining the scope and contents of the prior art.
 - 2. Ascertaining the differences between the prior art and the claims at issue.
 - 3. Resolving the level of ordinary skill in the pertinent art.
 - 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 24. Claims 1-3 are rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over US Patent 5,009,759 (Serwer et al.).
- 25. For purposes of examination, the term "solution" has been construed to encompass solution, regardless of viscosity. As such, the term has been construed as encompassing gels used in gel electrophoresis. The term "partition" has been construed as encompassing not only pillar arrays, and filters but also gels.
- 26. Serwer et al., teaches developing and using both horizontal and vertical gels that have gradients of pore sizes. The differences in pore sizes may be abrupt or may be that found in a gradient, where an infinite number of degrees of separation can be developed. The myriad differences on pore sizes are deemed to meet the limitation of first second, and third solutions. The portions of the gradient where the target biopolymers are slower to move, and where they

Art Unit: 1634

may eventually be trapped, are deemed to meet the limitation of applicants first and second "partition" as the target biopolymers are removed/separated/portioned from the other biopolymers.

- 27. As disclosed by Serwer et al., a mixture of biopolymers (e.g., nucleic acids or proteins) may be added/introduced into a gel, and then subjected to an electrophoretic force.
- 28. In view of the above remarks, the method of claims 1-3 is deemed disclosed, or in the alternative, rendered obvious over the disclosure of Serwer et al.
- 29. Claims 7 and 8 are rejected under 35 U.S.C. 103(a) as obvious over US Patent 5,635,045 (Alam) in view of US Patent Application Publication 2006/0127942 (Straume et al.).
- 30. See above for the basis of the rejection as it pertains to the disclosure of Alam.
- 31. Alam has not been fond to disclose the use of magnetic beads that are attached to biopolymers.
- 32. Straume et al., teach at length how magnetic beads can be coupled to any of a variety of biopolymers, including nucleic acids and proteins, and can be used to separate the bound biopolymer from other components in a sample.
- 33. Straume et al., page 12, disclose the use of beads in an electrophoretic medium, and that the beads can be coupled to nucleic acids.
- 34. Paragraph [0126] teaches that magnetic beads, when coupled to DNA, are able to move through a medium in response to electrophoretic force.
- 35. Straume et al., page 13, bridging to page 14, teaches separation of DNA from magnetic beads.

Application/Control Number: 10/801,544

Art Unit: 1634

36. It would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the methods of Straume et al., with that of Alam, as both Alam and Straume et al., disclose isolation of biopolymers that have been caused to pass through various regions/chambers of an electrophoretic medium.

Page 8

- 37. Said ordinary artisan would have been motivated to incorporate the method of Straume et al., into that of Alam as such would have allowed for the application of a magnetic force, and therein take advantage of the speedy movement of magnetic beads to a defined area, and therein enhance the isolation of a biopolymer from the electrophoretic buffer.
- 38. As en above, the elements disclosed by both Alam and Straume et al., are to function in the manner disclosed, and are to result in the same end point- the isolation of a biopolymer from a larger sample. In view of the detailed guidance, said ordinary artisan would have had a most reasonable expectation of success.
- 39. For he above reasons, and in the absence of convincing evidence to the contrary, claims 7 and 8 are rejected under 35 U.S.C. 103(a) as obvious over US Patent 5,635,045 (Alam) in view of US Patent Application Publication 2006/0127942 (Straume et al.).

Response to argument

- 40. At page 10 of the response received 23 July 2007, argument is presented that the cited prior art (Serwer) does not disclose first, second, and third buffer chambers, as the claims have been amended so to read.
- 41. The above argument has been fully considered and has not been found persuasive. It is noted that the "partition" or "buffer chamber" can be a gel. There is no requirement that there be any boundary material between the portion of the gel that constitutes the "chamber" and the

Art Unit: 1634

surrounding material, which can also be a gel. While Serwer may not use the term "buffer chamber" to define a region of a gel, the various regions of a gel, defined by a density gradient, are still present. Indeed, the claimed method requires that electrophoresis be conducted. Electrophoresis involves the movement of a charged matter through a gel in response to charge and physical size, and that the biopolymers are to be moving through the electrophoretic medium, and it associated buffer.

- 42. To the same degree that the biopolymer is moved through the chamber and buffer,

 Serwer achieves the same property, as both require the movement of a biopolymer through a gel

 via electrophoretic force.
- 43. For the above reasons, and in the absence of convincing evidence to the contrary, the rejection of claims 1-3 are rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over US Patent 5,009,759 (Serwer et al.).

Conclusion

- 44. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Bradley L. Sisson whose telephone number is (571) 272-0751. The examiner can normally be reached on 6:30 a.m. to 5 p.m., Monday through Thursday.
- 45. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ram Shukla can be reached on (571) 272-0735. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 1634

Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated

information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Bradley L. Sisson/ Primary Examiner Art Unit 1634

BLS